



DOCKET NO: M0925.70087US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


Applicant: Anne M. Mayes et al.  
Serial No: 09/862,916  
Confirmation No: 9694  
Filed: May 22, 2001  
For: POLYMER ELECTROLYTE, INTERCALATION  
COMPOUNDS AND ELECTRODES FOR BATTERIES

Examiner: Ruthkosky, Mark  
Art Unit: 1745

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to **MAIL STOP RCE**, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 3 day of September, 2004.

  
Signature

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**Mail Stop RCE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT FILED PURSUANT TO THE DUTY OF  
DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants request consideration of this Information Disclosure Statement.

**PART I: Compliance with 37 C.F.R. §1.97**

This Information Disclosure Statement has been filed before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. No fee or certification is required.

PART II: Information Cited

The Applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicants hereby make the following additional information of record in the above-identified application. The Applicants would like to bring to the Examiner's attention the following co-pending applications that may contain subject matter related to this application:

<u>Serial No.</u>	<u>Filing Date</u>	<u>Inventor(s)</u>
10/021,740	October 22, 2001	Chiang et al.
10/329,046	December 23, 2002	Chiang et al.
10/635,240	August 5, 2003	Chiang et al.

PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

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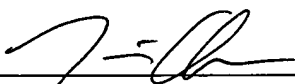
By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

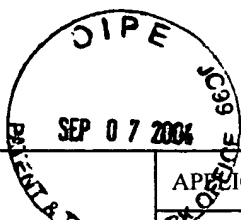
Notwithstanding any statements by the Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

By:   
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Docket No. M0925.70087US00  
Date: September 3, 2004  
x09/03/04x



<b>FORM PTO-1449/A and B (Modified)</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		APPLICATION NO.: 09/862,916	ATTY. DOCKET NO.: M0925.70087US00
		FILING DATE: May 22, 2001	CONFIRMATION NO.: 9694
		APPLICANT: Anne M. Mayes et al.	
		GROUP ART UNIT: 1745	EXAMINER: Ruthkosky, Mark
Sheet	1	of	1

#### U.S. PATENT DOCUMENTS

Examiner's Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or of issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
	A1	6,231,779	B1	Chiang et al.	05/15/01
	A2	6,599,662	B1	Chiang et al.	07/29/03
	A3	2002/0036282	A1	Chiang et al.	03/28/02
	A4	2003/0082446	A1	Chiang et al.	05/01/03
	A5	2003/0099884	A1	Chiang et al.	05/29/03
	A6	2004/0005265	A1	Chiang et al.	01/08/04

#### FOREIGN PATENT DOCUMENTS

Examiner's Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document (not necessary)	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
	B1	WO	96/10538	A1		04-11-1996	Y (Abstract)
	B2	WO	98/16960	A2	Massachusetts Institute of Technology	04-23-1998	
	B3	WO	99/56331	A1	Massachusetts Institute of Technology	11-04-1999	
	B4	WO	00/41256	A1	Massachusetts Institute of Technology	07-13-2000	
	B5	WO	01/77501	A2	Massachusetts Institute of Technology	10-18-2001	
	B6	WO	02/43168	A2	Massachusetts Institute of Technology	05-30-2002	
	B7	WO	03/012908	A2	Massachusetts Institute of Technology	02-13-2003	
	B8	WO	03/056646	A1	Massachusetts Institute of Technology	07-10-2003	

#### OTHER ART — NON PATENT LITERATURE DOCUMENTS

Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published.	Translation (Y/N)	
	C1	BOURIDAH, A. et al., <u>Solid State Ionics</u> , 15: 223-240, 1985.		
	C2	MINETT, M. G. et al., <u>Solid State Ionics</u> , 28: 1192-1196, 1988.		

EXAMINER	DATE CONSIDERED
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#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No \_\_\_\_\_, filed \_\_\_\_\_, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).